

香港註冊公司須連同申請書及附上以下文件：

For Hong Kong registered companies, the following documents should be provided with the application:

1.	列名於證券交易帳戶申請書各授權人士/董事/股東/最終實益持有人之身份證/護照副本。 Copies of the HONGKONG ID cards/passports of the Authorized Persons/Directors/Substantial Shareholders/Ultimate Principal Beneficial Owners named in the Securities Trading Account Application
2.	授權人士/董事/股東/最終實益持有人之住址證明，(如最近三個月印有授權人士/董事/股東/最終實益持有人姓名的水電煤賬單或銀行月結單等)。 Address proof of residence (e.g. Water/Electricity/Gas Bills/Bank Statement WITHIN the recent 3 months) of the Authorized Persons/Directors/Substantial Shareholders/Ultimate Principal Beneficial Owners.
3.	申請人商業登記證的核證副本。 A certified copy of the Business Registration Certificate of the Applicant.
4.	註冊成立證書的核證副本。 A certified copy of Certificate of Incorporation.
5.	組織章程大綱及章程細則或其他公司章程性質文件的核證副本。 A certified copy of Memorandum and Articles of Association or other constitutive documents.
6.	正確及最近期的現任董事及人員名單。 An accurate and up-to-date list of the current Directors and Officers.
7.	批准本合約條款及按上述形式簽署本合約董事會決議案的核證副本。 A certified copy of board resolutions approving the terms and authorizing the execution of the Client Agreement.
8.	擔保及彌償契約。 Deed of Guarantee and Indemnity.
9.	倘申請人並非最終實益擁有人，則需提供最終實益擁有人的註冊成立證書及商業登記證核證副本(如屬公司)或身份證/護照副本(如屬個人)。 If an ultimate beneficiary is not an Applicant, a certified copy of the Certificate of Incorporation and the Business Registration Certificate (if a corporation) or a copy of the Hong Kong ID Card/Passport (if an individual) of the ultimate beneficiary.
10.	公司銀行資料(香港開設銀行戶口)及公司名片(如有請提供)。 Company Bank Profile (Opened bank account at Hong Kong) and business cards (please provide).
11.*	最近之週年申請表。 Latest Annual Return.
12.*	最近之核實財務報告。 Latest Audited Financial Statement.

備註 Remark:

所有提交本公司的文件副本須經執業律師或會計師認證簽署並寫上日期。申請人亦可攜同文件正本及副本到本公司給指定職員認證。

(指定職員包括負責人或合規部職員)

All copy of documents submitted to our company should be certified true with signature and date by a practicing solicitor or a practicing accountant. Alternatively, the same documents could be certified true by the designated staff at our Office upon your presenting the originals and copies.

(Designated staff means Responsible Officer and Compliance Department).