

## Investor/Settlement Instruction( ISI / SI ) Form

**TO:交收部 Settlement**

日期/Date: \_\_\_\_\_

客戶姓名

**Name of Account:** \_\_\_\_\_

客戶號碼

**Customer's A/CNo.:** \_\_\_\_\_

 請於本人/吾等之賬戶傳送下列股票  
**DELIVER** the following shares from my/our account

 請將下列股票存本人/吾等之賬戶  
**RECEIVE** the following shares to my/our account

交收指示 SI / ISI	對手編號/Counterparty No.	對手名稱/Name of Counterparty	交收日期 /Settlement Date
聯絡人 Contact Person :		電話號碼 Contact Tel.:	
股票編號 Stock Code	股票名稱 Name of Stock	股數 Quantity	HKD
付款指示 Payment Instruction			
<input type="checkbox"/> 無須付款 FOP <span style="float: right;">Purpose _____</span>			
<input type="checkbox"/> 貨銀對付 DVP HKD _____			

本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而出現變動。

 本人/吾等亦承諾就本交收指示所可能令貴公司蒙受或承擔的任何費用或開支,當中包括但不限於印花稅,向貴公司作出彌償及使之獲得彌償。  
 I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connected with this settlement instruction.

客戶簽署 (請用開戶表之印鑑簽署)

經紀簽署/ Requested by AE

只供內部使用 For Internal use only:

Authorize Sign/ Input : \_\_\_\_\_

Approved By R.O. : \_\_\_\_\_