



Participant of The Stock Exchanges of Hong Kong Limited 香港聯合交易所有限公司參與者  
Sino Wealth Securities Limited carries on the business of dealing in securities and is licensed to carry on Type 1 regulated activity (dealing in securities) under the Securities and Futures Ordinance (CE No. AYU192).

國新證券有限公司經營證券交易的業務，並根據證券及期貨條例獲發牌經營第一類受規管活動（證券交易）（中央編號：AYU192）

**Charges for Hong Kong Securities Trading 香港股票交易收費表**

Services 服務	Charges 收費
<b>● General Transactions 一般交易</b>	
Basic Commission 基本佣金	0.25% on gross amount (Min HK\$ 100) 每宗交易額之 0.25% (最低 \$100 港元)
Stamp Duty 印花稅	0.1% on gross amount (rounded up to the nearest dollar) 每宗交易額之 0.10% (不足壹港元亦作壹港元計算)
SFC Transaction Levy 證監會交易徵費	0.0027% on gross amount 每宗交易額之 0.0027%
HKEX Trading Fee 香港交易及結算所交易費	0.005% on gross amount 每宗交易額之 0.005%
Settlement Fee 結算費	0.003% on gross amount (Min HK\$ 3 ) 每宗交易額之 0.003% (最低 \$3 港元)
<b>● CCASS Depository Services 中央結算服務收費</b>	
Stock Withdrawal ( Physical ) 提取股票 (實貨)	HK\$ 5.00 ( Per Board Lot 每手股票) HK\$ 20 ( Min 最低收費)
Transfer Deed Fee 轉手印花稅	HK\$ 5.00 ( Per Sheet 每張股票計算)
Stock Registration 股票轉名	HK\$ 2.50 (Per Lot, Per Stock) + HK\$ 100 Handling charge 每隻股票, 每手計算 2.50 港元 + 100 港元手續費
Stock Withdrawal via CCASS (SI) (Per Stock) 股票轉出 – 經中央結算 (每隻股票)	0.25% of Market Value (Min HK\$100) 按股票市值計 0.25% (最低收費 100 港元)
Stock Withdrawal via CCASS (ISI) (Per Stock) 股票轉出 – 經中央結算 (每隻股票)	HK\$ 20 Handling Charge ( Per Stock ) 每隻股票手續費港元 20 元
<b>● Nominee Services &amp; Corporate Actions 代理人服務及企業行動</b>	
Scrip Fee (Book Close)-Deemed Book close Scrip Fee 截止過戶費 (沒有公司行動之中央結算截止過戶)	HK\$ 1.50 ( Per Board Lot 每手股票)
Cash Dividend Collection 代收現金股息	0.5 % on gross dividend (Min HK\$ 20) 股息之 0.5 % (最低收費 20 港元)
Cash Offer & Shares Privatization 現金收購及股票私有化	0.15 % on gross offer (Min HK\$ 100) 股息之 0.15 % (最低收費 100 港元另加中央結算收費)
Warrant /Callable Bull/ Bear Contracts Exercise/ Mandatory Call of Callable Bull /Bear Contracts 行使認股權證 / 牛熊證 / 強制贖回牛熊證	HK\$50.00 Per Transaction and CCASS Fee 每項\$50.00 另加中央結算收費
Rights & Warrants Exercise Fee 認購權及認股權證行使費	HK\$1.50 (Per Board Lot) (每手股票) (Min. 最低 HK\$20)
Corporate Action Services Fee 代履行權責服務費	HK\$1.50 (Per Board Lot) (每手股票) (Min. 最低 HK\$20)
Claims for Dividend / Bonus 代客追討股息/ 紅股	0.20% on gross dividend (Min HK\$500)and Registrar feeHK\$2.5 per lot 討回之權益的 0.20% (最低收費 500 港元)另加過戶處收費每手\$2.50
<b>● Other Services 其他費用</b>	
EIPO Application(Non-financing & thro our nominee) 電子認購新股 (不融資及不經本公司代理人)	HK\$20 Handling charge 港幣二十元手續費
FIPO Application(Margin) 認購新股(保證金)	HK\$100 Handling charge 港幣壹百元手續費
Telegraphic Transfer (T/T) 電匯	Bill at cost as incurred or negotiable 以成本價收回或商議
Account Services Fee 戶口服務費	Bill at cost as incurred or negotiable 以成本價收回或商議
SMS Services Fee 短訊服務費	China/Hong Kong/Macau or Taiwan HK\$1 each, another districts HK\$3 each. 中國/香港/澳門及台灣均每次收取HK\$1 · 其他地區則每次收取HK\$3 。

Note: Charges & fees are subject to change without prior notice.  
THE PRICES OF SECURITIES FLUCTUATE, SOMETIMES DRAMATICALLY. THE PRICE OF A SECURITY MAY MOVE UP AND DOWN, AND MAY BECOME VALUELESS. IT IS LIKELY THAT THE LOSSES WILL BE INCURRED RATHER THAN PROFIT MADE AS A RESULT OF BUYING AND SELLING SECURITIES.  
註: 本公司將不時檢討服務收費而不作另行通知。

證券價格有時可能會非常波動。證券價格可升可跌，甚至變成毫無價值。買賣證券未必一定能夠賺取利潤，反而可能會招致損失。